



**CAMRADATA**

{ WELCOME TO  
CAMRADATA }

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# STRENGTH IN NUMBERS

## A multi-channel approach



### Database

- Manager Research
- Assisted Searches
- Investment Analysis
- Thought Leadership



### Publishing

- Funds europe
- Funds global Asia
- Funds global MENA
- Fund Tech



### Research

- Manager Ranking
- Investment Surveys
- Governance Reporting
- ESG and D&I Analysis



### Events

- Roundtables
- CAMRADating
- Seminars
- Awards
- Networking





# Access our manager directory containing over 360 asset managers...

## WELLINGTON MANAGEMENT®

### WELLINGTON MANAGEMENT COMPANY LLP

#### Primary address

Cardinal Place 80 Victoria Street London United Kingdom SW1E 5JL

#### Website

<http://www.wellington.com>

#### Contact email

[mig@wellington.com](mailto:mig@wellington.com)

#### Contact telephone

44-20-7126-6000

#### Founding year

1928

#### Description of organisation

Tracing our history to 1928, Wellington Management is one of the largest independent investment management firms in the world. We serve as a trusted adviser for institutions in over 62 countries. Our innovative investment solutions are built on the strength of rigorous, proprietary research and span nearly all sectors of the liquid, global securities markets. As a private firm whose sole business is investment management, our long-term view and interests are aligned with those of our clients.

#### Total assets under management (as at 31st December 2021) - £m

1,052,433

#### Assets under management by asset class (as at 31st December 2021) - £m

Fixed Income	396,342.00
Equity	484,582.00
Property	0.00
Private Property	0.00
Cash/Deposit	0.00
Currency	0.00
Other	171,509.00

#### Assets under management by client type - £m

	Amount	Client Count
Pension Funds	230,274.00	
Life Insurance - General Account (3rd Party)		
P&C/Non-Life Insurance - General Account (3rd Party)		
Insurance - General Account (Affiliated)	99,471.00	
Local Authority /Municipality		
Charity/Endowments	36,823.00	
Other Mutual	584,958.00	
Private Clients	1,510.00	
Retail		
Other Clients	98,948.00	

#### Assets under management by client domicile (as at 31st December 2021) - £m

US	841,610.00
UK	29,160.00
Europe ex-UK	76,521.00
Middle East	10,174.00
Pacific ex-Japan	64,908.00
Japan	11,818.00
Other	18,242.00

#### Staff analysis (as at 31st December 2021)

Portfolio Managers	154
Research Analysts	618
Combined Manager/Analysts	51
Dealing	64
Marketing	465
Investment Administration/Legal/Compliance/Operations	1664
Total Investment Prof Staff	926
Total Non-Investment Prof Staff	1,773

#### Geographical breakdown of Investment Professionals (as at 31st December 2021)

UK	159
North America	663
Europe	7
Japan	15
Pacific ex-Japan	82
Other	0.00

#### ESG

Signatory to PRI: Yes

To receive more qualitative and quantitative information about this manager or any other asset manager login to **CAMRADATA Live** or **click here** to get immediate access.



# CAMRADATA Live now provides firm level ESG and D&I data to institutional investors...



AB (ALLIANCEBERNSTEIN)

## Diversity & Inclusion (D&I)

Please outline how Diversity and Inclusion (D&I) is included within the leadership, retention policies and culture of your firm; including how D&I is incorporated into objectives for leaders and is performance linked to compensation?

At AllianceBernstein, we are focusing on three primary areas and are holding each other accountable to make significant progress in each of these:

- Senior Leadership Accountability
- Recruiting Diverse Talent
- The Development of Diverse Talent

Senior Leadership is accountable for Diversity and Inclusion at the firm. Building a diverse and inclusive culture requires explicit initiatives in recruitment, training, internal mobility, retention and promotion. Our firm and its management are focused on all of these. We are accelerating our existing Diversity & Inclusion strategy globally and adding new initiatives for the betterment of our people, our firm, and our communities.

AllianceBernstein's President and CEO, Seth Bernstein, truly believes that everyone at the firm has a unique voice that deserves to be heard, and that it's those individual voices, experiences, and perspectives that will help lead our firm forward. He believes that cultivating and maintaining a dynamic, diverse and inclusive workplace where employees feel challenged, valued, and excited about building a career starts with him. He is fully committed to protecting and enriching our values to ensure diversity and inclusion continues to be part of the fabric of AllianceBernstein.

Enhancing the Membership of our Board of Directors: Our firm is currently conducting a search for, and will appoint, a Black Board member by mid-2021. Our Corporate Governance Committee of our Board will play a critical role in identifying and evaluating candidates.

Expanding our Operating Committee and its Commitment: AllianceBernstein maintains a firm-wide Operating Committee comprised of our Executive Officers as well as the Heads of our Strategic Business Units and other senior executives whose functions are critical to both day-to-day operations as well as longer-term business strategy. This group of senior executives represent our key investment, business, and operational units and are the key internal decision-making forum for our firm. In 2020, we expanded our Operating Committee to include our Head of Diversity and Inclusion, the CEO of our Asia Pacific business, and our Head of Human Capital. These appointments further enhance the diversity profile of our Operating Committee from a gender, ethnicity, and functional perspective and also include two executives whose roles are explicitly focused on people and culture. Following the events in the US during May and June 2020, our Operating Committee formulated tangible actions we are now taking as a firm to further fight racial injustice and inequity and drive meaningful change at the firm.

Diversity Champions Council: AllianceBernstein maintains a Council of senior leaders charged with championing diversity and inclusion efforts at the firm. They do so by being role models and encouraging inclusive leadership behaviours, either by leading the efforts of either a specific business unit or the efforts of a specific demographic group (women, people of colour, or LGBTQI, for example.) The Council is a forum where ideas and best practices globally are shared and discussed. A key purpose of the Council is to instill ongoing accountability at the senior leadership level for ensuring AllianceBernstein is making every effort to increase diversity and improve inclusion across our firm. Our Diversity Champions Council helps accelerate the development of our diverse internal talent, maintaining tailored leadership development programs focused on the development and success of many of these groups.

Dedicated senior-level resources focused on Diversity & Inclusion: We maintain a Chief Responsibility Officer, Chief Corporate Responsibility Officer, a Chief Diversity Officer, a Diversity Recruitment Specialist, and a Diversity-focused Campus Recruiter. Our Chief Responsibility Officer, Chief Corporate Responsibility Officer, and Chief Diversity Officer are Senior Vice President-level full-time dedicated positions. Our Diversity Recruitment Specialist and Diversity-focused Campus Recruiter are also full-time dedicated positions. In February 2020, our Chief Diversity Officer, Janessa Cox-Irvin, was honoured as a Crain's New York Business 2020 Rising Star in Banking & Finance.

Promoting an Inclusive Culture: AllianceBernstein fully supports an inclusive culture where employees feel like they have a voice and are heard. As such, we host a number firm-sponsored programs for both our clients and employees:

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AB (ALLIANCEBERNSTEIN)

## ESG Processes, Policies & Reporting

Signatory to the PRI? Yes

### Investment policy and firm structure

Describe how your investment policy refers to your ESG integration practices.

AllianceBernstein has long recognized that ESG issues can impact the performance of investment portfolios. When AllianceBernstein became a PRI signatory in 2011, we began formalizing the integration of ESG into our investment processes and created a management infrastructure for responsible investment leadership that helps us drive our firm's strategy and commitment to these issues firm-wide. Our firm's primary approach to ESG incorporation is through "Integration". We believe the bottom-up integration of material ESG factors into our research and investment processes for most actively-managed equity and fixed income client accounts, funds, and strategies is an integral part of identifying investment risks and opportunities. We implement our integration of ESG issues through a multi-step process which includes: (1) Equip: Equipping our investment professionals with education, tools and processes to better evaluate ESG considerations, (2) Identify: Our teams identify and assess materiality of ESG risk and opportunities within portfolios, (3) Engage: Our teams engage with issuers on pre-identified risk and opportunities, (4) Document: Analysts document ESG and engagement research and conclusions in our proprietary ESG systems, (5) Incorporate: ESG findings are incorporated into our models and company research, and (6) Integrate: ESG conclusions are integrated into portfolio decisions. Through this process, our research analysts take ownership of ESG issues when they begin analyzing an issuer, identifying, researching, determining materiality, engaging with issuers on issues when material, and incorporating material factors into our models and recommendations. Our portfolio managers may include the impact of material ESG factors and climate risks in their investment evaluations and decisions, with the impact of ESG and climate change factors on cash flows, credit ratings or discount rates having the ability to influence investment decisions and position sizing.

Which person/team/committee is responsible for implementing a responsible investing programme and who is responsible for ESG analysis within the investment process?

ESG integration in actively-managed equity and fixed income client portfolios is the primary responsibility of our mainstream portfolio managers and analysts. Within our separate asset-class-specific investment teams, our chief investment officers/portfolio managers are responsible for overseeing the integration of material ESG factors into our investment processes and ensuring that potential ESG issues are appropriately identified, raised, research, and addressed. Similarly, our mainstream research analysts have primary responsibility for incorporating material ESG factors into the research process. If our analysts determine that, after integrating ESG factors into their fundamental research, there are aspects of an issuer's past, current or anticipated ESG-related behavior that are material to its future expected returns, they address these concerns in research forecasts, research reviews, and investment recommendations. When AllianceBernstein became a PRI signatory in November 2011, we additionally created a formal management infrastructure for responsible investment (RI) leadership to help us drive our RI strategy and ensure a coordinated, consistent approach to these issues across the firm. Since 2011, we have steadily increased our firm's resource commitment in the area of Responsible Investing.

Does your organisation have a dedicated ESG team? (If so, then please describe, including how they work/interact with the investments team, their responsibilities and how their work is used by the investments team)

Yes. The expertise of our investment teams is complemented by the RI-specific knowledge of our Responsible Investing Team. Upon becoming a PRI signatory, we created a formal management infrastructure for responsible investment (RI) leadership to help us drive our RI strategy and ensure a consistent approach across our firm. Since 2011, we have steadily increased our firm's resource commitment in this area. Our dedicated RI Team today includes our Chief Responsibility Officer, our Director of Strategy for Responsible Investing, our Director of Corporate Responsibility, separate Directors who each oversee our firm's Environmental, Social, and Governance (Proxy Voting) initiatives, a Manager of Communications and Reporting, and additional ESG and proxy voting analysts and associates.

Our dedicated Responsible Investing Team provide support to and partner with our investment teams to conduct ESG and climate change-related research, develop frameworks, and prepare for and conduct engagements. They maintain our RI-focused tools, deliver RI training, and also manage our firm's proxy voting process, ensuring that votes are aligned with our policy. While our Responsible Investing Team works closely with our investment teams, all security selection and other investment decisions are the sole responsibility of our investment teams.

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To learn more about this manager's ESG / Diversity & Inclusion or any other asset manager's login to CAMRADATA Live or click [here](#) to get immediate access.



# View infosheets on over 5,700 products...



**CAMRADATA**

## CAMRADATA Product Infosheet

Data up to Jun 2021

### IMPORTANT INFORMATION

This CAMRADATA Product Infosheet covers M&G Smaller Companies (Xamin returns) (the 'Product'). CAMRADATA has prepared this Product Infosheet using information included by the investment manager of the Product (the 'Manager') in the CAMRADATA Live database and by applying proprietary performance measurement criteria to such information. The Product Infosheet has not been approved by the Manager. The content of this document is not intended to amount to advice or constitute a recommendation to act and should not be relied upon as such. Product Infosheets are intended to provide factual information and to be used for general evaluation only. This document is not investment research because it contains no investment recommendation and consequently, it has not been prepared in accordance with regulatory requirements relating to investment research. When preparing Product Infosheets, CAMRADATA is not influenced by any third party. Product Infosheets do not cover every fund or equivalent product available in the market. Please see the Disclaimer at the end of this document.



### M&G Smaller Companies (Xamin returns)

#### Cumulative Performance vs Benchmark



#### Monthly Performance Absolute

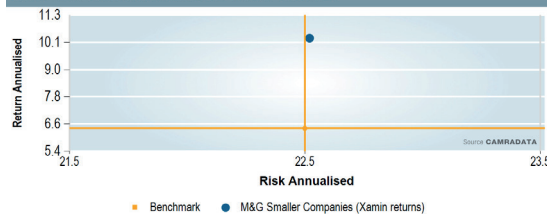


#### Returns (Jun 2021)

	3m	6m	1yr*	3yr*	5yr*
Absolute	10.09%	16.44%	48.69%	10.33%	15.79%
Benchmark	5.47%	17.42%	49.77%	6.41%	10.86%
Relative	4.62%	-0.97%	-1.08%	3.92%	4.93%

\* Annualised

#### Three Year Annualised Returns ended Jun 2021



#### Key Facts

Manager	M&G Investments
Product	M&G Smaller Companies (Xamin returns)
Asset Class	UK Equity
Style	Value
Size	Small Cap
Legal Structure	OEIC
Benchmark	NUMIS NSCI EX.INV.COS. - TR GBP
Fund Size	317.22m
Inception Date	Sep 27, 1967
Currency	GBP
Min Investment	0
ISIN	No data provided
Management Approach	Active

#### Statistics (3 years)

Annualised Mean	12.51
Annualised Standard Deviation	22.48
Relative Geometric Mean	4.41
Tracking Error	5.33
Information Ratio	0.83
Annual 12 Month Worst	-15.27%
Annual 12 Month Best	52.8%

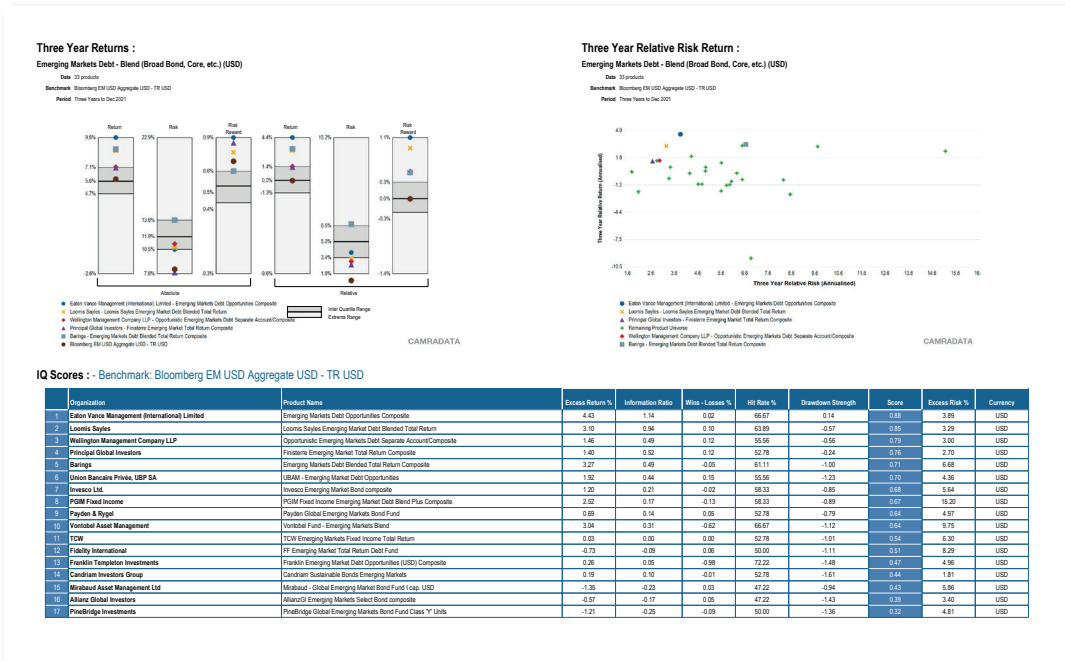
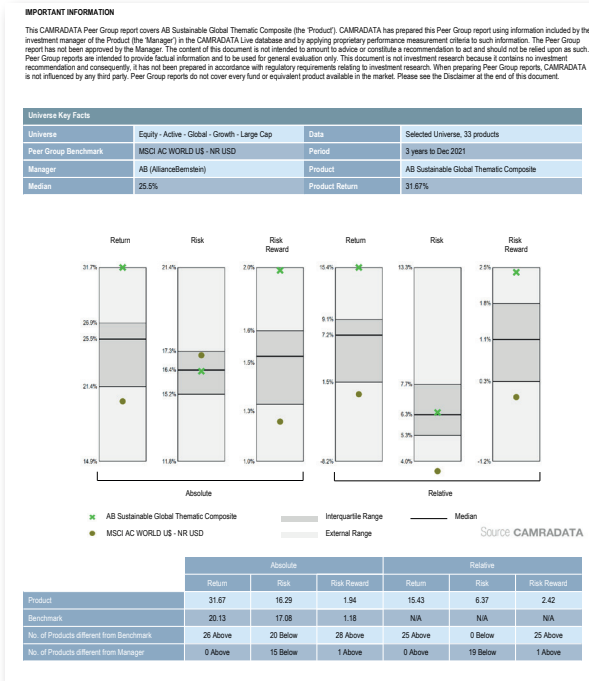
#### Firm Details

Year Founded	1931
Firm AUM	£283,528m
UNPRI	Yes
M&G Investments	
10 Fenchurch Avenue London United Kingdom EC3M 5AG	
www.mandg.co.uk	

To access more Product Infosheets on other vehicles managed by this manager or any other asset manager login to [CAMRADATA Live](#) or [click here](#) to get immediate access.



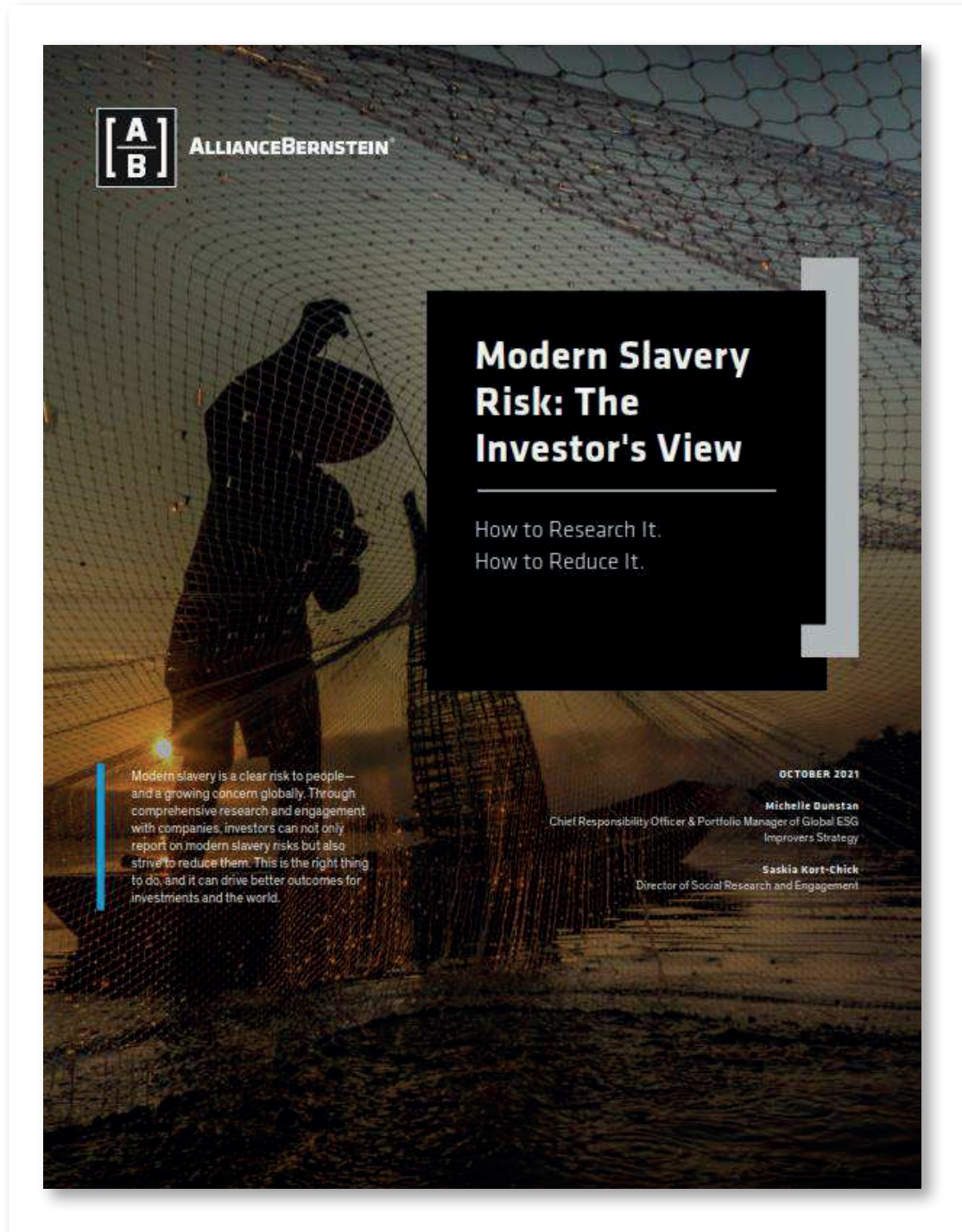
# Run Peer Group reports... and IQ manager rankings on a range of asset classes...



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# Looking for the latest manager insights?



To access Thought Leadership on this manager or any other asset manager log in to **CAMRADATA Live** or [click here](#) to get immediate access.





# Trust us to provide Manager Research for you via our Assisted Search Service

## Example

**Deadline:** Monday 13th December 2021

**Assisted Search:** A UK Pension Scheme is working with CAMRADATA to run an Assisted Search for Emerging Market Debt Total Return strategies for their DB pension fund. All funds being proposed must be listed in CAMRADATA Live and returns must be up to 30 September 2021.

## Asset Class – Emerging Market Debt Total Return

**Region** – Global

**Currency** – GBP

**Benchmark** – No benchmark specified

**Structure** – Pooled or Separate

**Size of potential mandate** – £100 million

**Track Record** – 3 years

**Other details** – The criteria is on being unconstrained, dynamic with significant variation of allocations, and significant allocation to Local Currency and Frontier. – Please provide historical gross allocations and attributions across EM/Frontier, Hard/Local, Govts/Corp, etc.

**CAMRADATA's** free Assisted Search service is provided to those institutional investors looking to create buy lists, assign mandates, or complete specific research across asset classes.

We are able to gather qualitative and quantitative information across asset classes for you on an anonymous

basis, as part of the service we provide to investors, at no charge; to help you compare and contrast asset managers/funds, as well as gather any other information required, without you having to go to managers individually.

To enquire or run an Assisted Search on any asset class please contact us at [assistedsearches@camradata.com](mailto:assistedsearches@camradata.com) or fill in the **Assisted Search Form**.



Read our latest Whitepapers on hot topics discussed by our asset owner and asset manager roundtable participants...



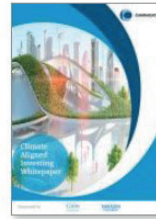
Real Assets Whitepaper



The Future of ESG Data Whitepaper



Private Markets Whitepaper



Climate Aligned Investing Whitepaper



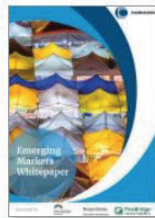
Absolute Return Investment



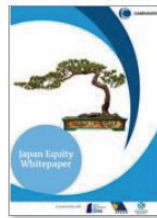
Sustainable Credit Whitepaper



Insurance CIO Whitepaper



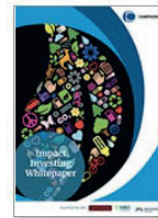
Emerging Markets Whitepaper



Japan Equity Whitepaper



Low Carbon Transition 2021



Impact Investing 2021



ESG in Fixed Income Whitepaper

If you are interested in participating at our Roundtables please contact us at [info@camradata.com](mailto:info@camradata.com).



And lots more to discover...

### Research Reports



Asset Managers' Ranking IQ Q3 '21



Asset Returns Q3 '21



Asset Returns Q2 '21



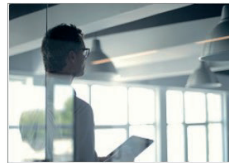
Asset Managers' Ranking IQ Q2 '21



Asset Returns Q1 '21



Asset Managers' Ranking IQ Q1 '21



Asset Returns Q4 '20



Asset Managers' Ranking IQ Q4 '20

### Awards and Directory



Insurance Asset  
Manager Directory  
2021



CAMRADATA  
Awards 2021

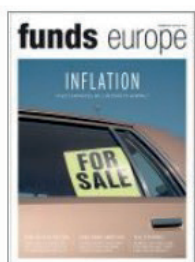


Asset Manager  
Directory 2020



CAMRADATA  
Awards 2020

### Magazines - funds europe



December 2021



November 2021

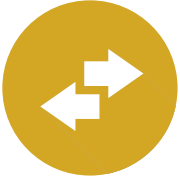


October 2021



July-August 2021

To access all our Publications login to **CAMRADATA Live** or click [here](#) to get immediate access.



## New to 2022: Meet the Manager

**These forums bring together** asset managers and researchers/decision makers on a one to one basis. They provide the perfect opportunity for asset managers to share how they manage their funds; highlight differentiating factors; accommodate relevant regulatory requirements and adapt to market conditions. As each meeting is held in your own private space, it allows for open conversations about investment processes and due diligence, plus the sharing of tailored materials.

Each forum concludes with lunch after a morning of meetings, thus providing further opportunity for networking once connections have been made.



Contact Natasha Silva at [natasha.silva@camradata.com](mailto:natasha.silva@camradata.com) for more information

# Meet the team



**Sean Thompson**  
*Managing Director*



**Natasha Silva**  
*Managing Director*



**Amy Richardson**  
*Managing Director*



**Sam Buttress**  
*Associate, Business  
Development*



**Sarah Northwood**  
*Marketing and Events  
Coordinator*



**Mithursha Kesavan**  
*Associate, Database and  
Publication Support*